


1. Name of the Trading Member (Stock Broker) of NSE / BSE		Millennium Stock Broking Pvt Ltd (NSE TM ID - 11298) (BSE TM ID - 6151)	
2. Address, Tel. No., Fax No. of the Main office of the trading member along with E-mail Id, Website address, CIN and GST No.		Martin Burn House 1, R.N.Mukherjee Road 3 <sup>rd</sup> Floor, Room No.317 Kolkata – 700 001 Ph. No.033 4023 4343 Fax No.033 4023 4311 E-Mail Id - info@msbpl.in Website : www.msbpl.in CIN : U67110WB2000PTC091041 GST No.- 19AACCM2853Q1ZV	
3. SEBI Registration No. of the trading member	NSE BSE	INZ000182435	
4. Name, designation & Tel. No. and E-mail Id of the contact person in the Main office of the trading member		Mr. Puspen Nag Chowdhury (Manager) Ph.No.033 4023 4301 E-mail : info@msbpl.in	
5. Name, Tel. No. and E-mail Id of the Compliance Officer		Mr. AakashKhetan Ph.No.033 4023 4334 E-mail : aakash@msbpl.in	
6. Investor Grievances E-mail Id		clients@msbpl.in	

**Please Note :**

Points to be noted by investors

Please deal only through a SEBI registered trading member / SEBI registered sub-broker and ensure to carry out due diligence before registering as a constituent of any trading member. Please read carefully and then execute registration documents viz. Know Your Client Form, Member-Constituent agreement or Member-Sub broker-Constituent agreement and Risk Disclosure Document, before starting dealing with trading member.

Insist on contract note of the trading member for all trades done by you, within 24 hours and bring any discrepancies to the notice of trading member immediately.

Please make delivery of securities directly and payments by account payee cheque/DD in favour of trading member or funds transfer through banking channel and do not involve in cash dealings.

For further details on Investor Rights and Obligations and other related issues, please refer to the Investor Forum Section / Investor Service Centres on the website of NSE, BSE or the booklet 'Guiding Light for Investors', copy of which is available with the TM/registered sub-broker.

**Do's**

- Always deal with market intermediaries registered with SEBI/Exchanges.
- Give clear and unambiguous instructions to your broker/agent/depository participant.
- Always insist on contract notes for all the transactions from the main broker (Millennium Stock Broking Pvt Ltd) within 24 hours of the trade execution. In case of doubt of the transactions, verify the genuineness of the same on the Exchange website [www.bseindia.com](http://www.bseindia.com). BSE Investor Service Cell Tel. No.022 - 2272 1233 / 34

- d. Always settle the dues through the normal banking channels with the market intermediaries.
- e. Always make payment directly to the main broker (Millennium Stock Broking Pvt Ltd).
- f. Always give delivery of shares directly to the main broker (Millennium Stock Broking Pvt Ltd).
- g. Adopt trading/investment strategies commensurate with your Risk bearing capacity as all investments carry risk, the degree of which varies according to the investment strategy adopted.
- h. Always sign a Member-Client Agreement or the tripartite agreement with the trading member & SEBI registered sub-broker of the trading member of BSE as the case may be.
- i. Please carry out due-diligence before registering as client with any Intermediary. Also, carefully read and understand the contents stated in the Risk Disclosure Document, which forms the part of client registration for dealing through intermediaries in the Stock Market.

**Don'ts**

- a. Don't deal with unregistered brokers/sub-brokers, intermediaries.
- b. Don't leave the custody of your Demat Transaction slip book in the hands of any Intermediary.
- c. Don't fall prey to promises of guaranteed returns.
- d. Don't blindly imitate investment decisions of others who may have profited from their investment decisions.

## FOR STOCK BROKERS

Dear Investor,

In case of any grievance / complaint against Millennium Stock Broking Pvt Ltd (Stock Broker):

- Please contact Mr. Aakash Khetan - Compliance Officer of Millennium Stock Broking Pvt Ltd (Stock Broker) at email-id : aakash@msbpl.in and Phone No. -91 - 03340234334.
- You may also approach Mr. Pawan Kumar Daga -CEO of Millennium Stock Broking Pvt Ltd (Stock Broker) at email-id :info@msbpl.in and Phone No. -91 -03340234343.
- If not satisfied with the response of Millennium Stock Broking Pvt Ltd (Stock Broker), you may contact the concerned Stock Exchange at the following -

<b>Exchange Name</b>	<b>Web Address</b>	<b>Contact No</b>	<b>Email-id</b>
BSE	www.bseindia.com	022-2272 1234	dis@bseindia.co.in
NSE	www.nseindia.com	18002660058	ignse@nse.co.in

- You can also lodge your grievances with SEBI at <http://scores.gov.in>. For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.