

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

S N	Receiv ed from	Carri ed forwa rd from previ ous month	Recei ved during the month	Total Pendi ng	Resolve d*	Pending at the end of the month**		Average Resolut ion time^ (in days)
						Pendi ng for less than 3 mont h s	Pendi ng for more than 3 mont h s	
1	2	3	4	5	6	7		8
1	Directl y from Investor s	Nil	Nil	Nil	Nil	Nil		Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil		Nil
3	Stock Exchang es	Nil	Nil	Nil	Nil	Nil		Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil		Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil		Nil

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	April -2021	Nil	Nil	Nil	Nil
2	May-2021	Nil	Nil	Nil	Nil
3	June-2021	Nil	Nil	Nil	Nil
4	July-2021	Nil	Nil	Nil	Nil
5	Aug-2021	Nil	Nil	Nil	Nil
6	Sep-2021	Nil	Nil	Nil	Nil
7	Oct-2021	Nil	Nil	Nil	Nil
8	Nov-2021	Nil	Nil	Nil	Nil
9	Dec-2021	Nil	Nil	Nil	Nil
10	Jan-2022	Nil	Nil	Nil	Nil
11	Feb-2022	Nil	Nil	Nil	Nil
12	March-2022	Nil	Nil	Nil	Nil
13	April-2022	Nil	Nil	Nil	Nil
14	May-2022	Nil	Nil	Nil	Nil
15	June-2022	Nil	Nil	Nil	Nil
16	July-2022	Nil	Nil	Nil	Nil
17	Aug-2022	Nil	Nil	Nil	Nil
18	Sept-2022	Nil	Nil	Nil	Nil
19	Oct-2022	Nil	Nil	Nil	Nil
20	Nov-2022	Nil	Nil	Nil	Nil
21	Dec-2022	Nil	Nil	Nil	Nil
22	Jan-2023	Nil	Nil	Nil	Nil
23	Feb-2023	Nil	Nil	Nil	Nil
24	March-2023	Nil	Nil	Nil	Nil
25	April-2023	Nil	Nil	Nil	Nil

26	May-2023	Nil	Nil	Nil	Nil
27	June-2023	Nil	Nil	Nil	Nil
28	July-2023	Nil	Nil	Nil	Nil
29	Aug-2023	Nil	Nil	Nil	Nil
30	Sept-2023	Nil	Nil	Nil	Nil
31	Oct-2023	Nil	Nil	Nil	Nil
32	Nov-2023	Nil	Nil	Nil	Nil
33	Dec-2023	Nil	Nil	Nil	Nil
34	Jan-2024	Nil	Nil	Nil	Nil
35	Feb-2024	Nil	Nil	Nil	Nil
36	March-2024	Nil	Nil	Nil	Nil
37	April-2024	Nil	Nil	Nil	Nil
38	May-2024	Nil	Nil	Nil	Nil
39	June-2024	Nil	Nil	Nil	Nil
40	July-2024	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	Nil	Nil	Nil	Nil
2	2018-19	Nil	Nil	Nil	Nil
3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	Nil	Nil	Nil
6	2022-23	Nil	Nil	Nil	Nil
7	2023-24	Nil	Nil	Nil	Nil
8	2024-25	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil