

**Annexure – B**

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

**Data for every month ending**

S N	Receiv e d from	Carrie d forwa r d from previo u s month	Recei ve d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g for less than 3 mont h s	Pendin g for more than 3 mont h s	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil		Nil
2	SEBI (SCORE S 2.0)	Nil	Nil	Nil	Nil	Nil		Nil
3	Stock Exchang es	Nil	Nil	Nil	Nil	Nil		Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil		Nil
5	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil		Nil

**Trend of monthly disposal of complaints**

<b>SN</b>	<b>Month</b>	<b>Carried forward from previous month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Pending**</b>
<b>NIL</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

1	April -2021	Nil	Nil	Nil	Nil
2	May-2021	Nil	Nil	Nil	Nil
3	June-2021	Nil	Nil	Nil	Nil
4	July-2021	Nil	Nil	Nil	Nil
5	Aug-2021	Nil	Nil	Nil	Nil
6	Sep-2021	Nil	Nil	Nil	Nil
7	Oct-2021	Nil	Nil	Nil	Nil
8	Nov-2021	Nil	Nil	Nil	Nil
9	Dec-2021	Nil	Nil	Nil	Nil
10	Jan-2022	Nil	Nil	Nil	Nil
11	Feb-2022	Nil	Nil	Nil	Nil
12	March-2022	Nil	Nil	Nil	Nil
13	April-2022	Nil	Nil	Nil	Nil
14	May-2022	Nil	Nil	Nil	Nil
15	June-2022	Nil	Nil	Nil	Nil
16	July-2022	Nil	Nil	Nil	Nil
17	Aug-2022	Nil	Nil	Nil	Nil
18	Sept-2022	Nil	Nil	Nil	Nil
19	Oct-2022	Nil	Nil	Nil	Nil
20	Nov-2022	Nil	Nil	Nil	Nil
21	Dec-2022	Nil	Nil	Nil	Nil
22	Jan-2023	Nil	Nil	Nil	Nil
23	Feb-2023	Nil	Nil	Nil	Nil
24	March-2023	Nil	Nil	Nil	Nil
25	April-2023	Nil	Nil	Nil	Nil
26	May-2023	Nil	Nil	Nil	Nil

27	June-2023	Nil	Nil	Nil	Nil
28	July-2023	Nil	Nil	Nil	Nil
29	Aug-2023	Nil	Nil	Nil	Nil
30	Sept-2023	Nil	Nil	Nil	Nil
31	Oct-2023	Nil	Nil	Nil	Nil
32	Nov-2023	Nil	Nil	Nil	Nil
33	Dec-2023	Nil	Nil	Nil	Nil
34	Jan-2024	Nil	Nil	Nil	Nil
35	Feb-2024	Nil	Nil	Nil	Nil
36	March-2024	Nil	Nil	Nil	Nil
37	April-2024	Nil	Nil	Nil	Nil
38	May-2024	Nil	Nil	Nil	Nil
39	June-2024	Nil	Nil	Nil	Nil
40	July-2024	Nil	Nil	Nil	Nil
41	Aug-2024	Nil	Nil	Nil	Nil
42	Sept-2024	Nil	Nil	Nil	Nil
43	Oct-2024	Nil	Nil	Nil	Nil
44	Nov-2024	Nil	Nil	Nil	Nil
45	Dec-2024	Nil	Nil	Nil	Nil
46	Jan-2025	Nil	Nil	Nil	Nil
47	Feb-2025	Nil	Nil	Nil	Nil
48	Mar-2025	Nil	Nil	Nil	Nil
49	Apr-2025	Nil	Nil	Nil	Nil
50	May-2025	Nil	Nil	Nil	Nil
51	June-2025	Nil	Nil	Nil	Nil
52	July-2025	Nil	Nil	Nil	Nil
53	Aug-2025	Nil	Nil	Nil	Nil
54	Sept-2025	Nil	Nil	Nil	Nil

55	Oct-2025	Nil	Nil	Nil	Nil
56	Nov-2025	Nil	Nil	Nil	Nil
57	Dec-2025	Nil	Nil	Nil	Nil
58	Jan-2026	Nil	Nil	Nil	Nil
59	Feb-2026	Nil	Nil	Nil	Nil
60	March-2026	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### **Trend of annual disposal of complaints**

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2017-18	Nil	Nil	Nil	Nil
2	2018-19	Nil	Nil	Nil	Nil
3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	Nil	Nil	Nil
6	2022-23	Nil	Nil	Nil	Nil
7	2023-24	Nil	Nil	Nil	Nil
8	2024-25	Nil	Nil	Nil	Nil
9	2025-26	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>